

# International Professional Technical Advice and Workshops

Developed and Executed by Pierre J. Séguin

## Appendix

### International Professional Technical Workshops

Development Bank of Samoa, a workshop on evaluating the level of non-performing loans and establishing the provision of doubtful loans. February 2010

Financial Regulatory Commission of Mongolia – workshop on assessing Prudential Standards and establishing risk rating based on actual case studies. Inspection department - Credit Unions Sector. January 2020.

Performed a series of workshops on Supervisory committee duties, responsibilities, and functions for representatives from 30 credit unions located in Ulaanbaatar Mongolia - The workshops included an overview of risk management based on the responsibilities as outlined in the Law. There was a review of financial statements, including a session on how to adequately calculate and assess prudential standard ratios, followed by introducing a supervisory checklist. There was a session on anti-money laundering, and discussions were held on disaster recovery planning. There were 92 representatives in attendance. October 2019

World Council of Credit Union (WOCCU) annual meeting. I was invited to speak at one of the break-out sessions held during the conference. I spoke on the topic of "Management and the Regulator." The event was held in The Bahamas and attended by 2400 delegates representing credit unions worldwide. July 2019

Performed a workshop on Supervisory committee duties, responsibilities, and functions for representatives from 15 credit unions located in the South and East Gobi region of Mongolia - The workshop included a risk management component based on the duties outlined in the Law. There was a review of financial statements, including a session on how to adequately calculate prudential standard ratios, supported by introducing a supervisory checklist. There was a session on anti-money laundering, and discussions were held on disaster recovery planning. There were 46 representatives in attendance. May 2019

Performed a series of workshops on Risk Management for a key group of representatives selected from the credit union sector in Mongolia who will eventually become resource persons to credit union officials located in their respective regions. May 2019

Performed an inception mission resulting in a one-day presentation to representatives from the Savings and Cooperative sector of Nepal on how to proceed with reporting financial results using a management information system. December 2018

Staged a five-day workshop on drafting a Credit Union Law for the Financial Regulatory Commission of Mongolia. June 2018

I assessed the result of an off-site supervision review of all credit unions' yearend financial results in Mongolia. The result was used to establish a list of institutions that will be subjected to a resolution program. Financial Regulatory Commission of Mongolia. March 2018

I developed a roadmap to assist the National Bank of Ukraine to manage the transition of the oversight of the country's Non-Bank Financial Institutions from a regulatory commission to the National Bank. This

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assignment was performed in preparation for the passing of a Split Law that will set the stage for the absorption of the NBFIs sector by the National Bank of Ukraine. Ukraine USAid project. February 2018

Presented the result of a review of the Singapore Credit Union sector's operation and provided recommendations on capital adequacy, accounting practices, succession planning, and prudential standards. Presentation to the Federation and Regulator. January 2018

Keynote speaker and panelist - Mongolia National Credit Union Forum in October 2017. Topics: League structure, investment, and lending facilities, governance, and legal structure.

I performed a series of training sessions on Governance, Risk management, Accounting Standards, and Prudential Standards to representatives of 35 Mongolian Savings and Credit Cooperatives located in the inner country. This was performed to support the Financial Regulatory Commission of Mongolia's mandate to introduce and promote sound business and financial practices to the sector. ADB May 2017

I designed, drafted, and/or upgraded a complete set of Risk-Based Banking Supervision Guidelines and Risk Criteria based on the OSFI approach, including a comprehensive adaptation to the Vietnamese context of a Consumer Lending Inspection Guide for use by the Banking Supervision Agency of the State Bank of Vietnam. Global Affairs Canada / Cowater. November 2016 & March 2017.

Introduced a Supervisory Framework to be used by the Financial Regulatory Commission of Mongolia to support its oversight mandate of the Savings and Credit Cooperatives. A series of Guidelines and Supervisory Principles were also prepared for Senior management consideration. The technical assistance included a review of current Laws and Regulations, resulting in the issuance of a series of comments and recommendations for consideration during the upcoming legislative reform. ADB April 2017

I designed a comprehensive set of on-site inspection working papers. This was performed to complement the introduction of Risk-Based Banking Supervision based on the revue of a Significant Activity approach to be introduced at the Banking Supervision Agency of the State Bank of Vietnam. Global Affairs Canada / Cowater January 2017.

Performed a project inception mission for the Asian Development Bank in Mongolia to establish the modalities surrounding implementing a Risk-Based Supervision program for the Savings and Credit Cooperative at the Financial Regulatory Commission. ADB November 2016.

Designed and drafted a set of Prudential Statements for a workshop that was delivered to the Association of Financial Supervisors of Pacific Countries on "Financial Sector Natural Disaster Preparedness and Recovery Planning." Palau June 2016 IMF PFTAC

I drafted a set of ten Regulations to complement the Fiji Credit Union Bill, which will be submitted to the sector for review and comments before its introduction to parliament on behalf of the Reserve Bank of Fiji in June 2016. IMF PFTAC

Developed and implemented a comprehensive Savings and Loan sector financial statements reporting program, including financial ratio analysis, while facilitating a three-day workshop for the Bank of Papua New Guinea. May 2016 IMF PFTAC

Designed, developed, and facilitated a three-day workshop on credit union financial reporting and off-site supervision for the Banking Supervision Department of the Central Bank of Timor-Leste. The focus of the training was to establish the financial health of the sector. May 2016 IMF PFTAC

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I provided contractual and back-stopper support with the execution of a technical assistance mission to the Central bank of Samoa by securing the services of a short-term expert who provided training and support with the implementation of an on-site examination of a local bank. The technical support also included providing recommendations for changes to the Supervision manual. 20 days, February 2016

I facilitated a three-day workshop on credit union financial reporting and off-site supervision for the Banking Supervision Department of the Central Bank of Samoa. The focus of the training was to review the financial statements of credit unions. A further two day spent studying individual financial results with each credit unions operating in Samoa in February 2016

I supported the Central Bank of Solomon Islands with a review of twenty registered credit union's financial statements. I held 20 one-on-one workshops with each credit union to review their financial statements and assess their compliance with the Act and sound business and financial practices requirements. A report was submitted to the Central Bank on the health of the sector. This was performed during a ten-day mission to Solomon Islands, February 2016.

I provided contractual and back-stopper support with the execution of a technical assistance mission to the Banking Commission of Micronesia by securing the services of a short-term expert who provided training and support with the implementation of an on-site examination of a local bank. 18 days, February 2016

I provided contractual and back-stopper support with the execution of a technical assistance mission to the Bank of Papua New Guinea by securing the services of a short-term expert who delivers a workshop on "Consolidated Supervision of Conglomerates." Five days, February 2016

I provided contractual and back-stopper support with the execution of a technical assistance mission to the Financial Institutions Commission of Palau by securing the services of a short-term expert who provided training and support with the implementation of an on-site examination of a local bank. 18 days, November 2015

I delivered a five-day workshop on drafting a Credit Union Act, with the support of the IMF Legal Department, to representatives from Tonga, Samoa, Vanuatu, and the Solomon Islands. Five days November 2015

I delivered a five-day workshop on drafting a Credit Union Act, with the support of the IMF Legal Department, to representatives from the Reserve Bank of Fiji and the Attorney General's Office. Five days October 2015

Reviewed on-site examination processes, conducted brief workshops on credit risk, and offered revised templates for the analysis and presentation of on-site examination findings. Reviewed progress and assisted examination team members as they strive to improve on-site evaluation of credit risk in a financial institution under the National Reserve Bank of Tonga's oversight. September 2015.

*Last updated December 2020*